

SWINE SLAUGHTER AUDIT FORM

| | |
|-------------------|-----------------------------|
| Auditor Name: | Date: |
| Auditing Company: | Stunning Method Audited: |
| Plant Location: | Slaughter Rate (head/hour): |
| Plant Contact: | Weather Conditions: |

| | Points Earned | <i>Points Available</i> |
|---|----------------------|-------------------------|
| Criterion 1: Commitment to Animal Welfare - Plant policies and training | | |
| Plant has a documented training program for its employees or uses an outside training program on the principles good animal handling. | | 5 |
| Plant has a protocol that is written or widely understood for handling non-ambulatory animals. | | 5 |
| Plant performs internal audits at least weekly and implements corrective actions as needed. | | 5 |
| An emergency management plan for livestock is in place. | | 5 |
| The emergency management plan includes instructions on when/how water will be provided to animals in drive alleys/unloading docks and how feed will be provided if animals are held longer than 24 hours. | | 5 |
| Employees inspect the facility weekly and document for repair any damage or sharp protrusions that may injure animals. | | 5 |
| Plant has a protocol for stunning equipment maintenance. | | 5 |
| Employees are trained in handling non-ambulatory animals. | | 5 |
| Special training is provided to stunner operators to ensure proper equipment use and stunning efficacy. | | 5 |
| A written protocol on how to handle a sensible animal on the bleed rail is in place and employees are trained on the protocol. | | 5 |
| Total for Criterion 1: | | 50 |

| | Points Earned | <i>Points Available</i> |
|---|----------------------|-------------------------|
| Criterion 2: Facility and Handling Observations | | |
| Plant has non-slip flooring. | | 10 |
| In the crowd pen or bud box, animals flow with stress and discomfort minimized. | | 10 |
| Non-electrical devices are the primary tool(s) used to move livestock. | | 10 |
| Holding pens are not overcrowded. | | 10 |
| CO ₂ levels or amperage/voltage monitored regularly. <i>(If CO₂ or electrical stunning is not used, adjust the total number of points available for the audit accordingly.)</i> | | 10 |
| Total for Criterion 2: | | 50 |

| | Points Earned | <i>Points Available</i> |
|--|----------------------|-------------------------|
| Critical Welfare Indicator | | |
| Criterion 3: Access to Water | | |
| Animals in all holding pens have access to clean drinking water. | | 50 |

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| Key Welfare Indicator | Result |
|-------------------------------|--------|
| Criterion 4: Falls | |
| Number of animals that fell | |
| Number of animals audited | |
| Percentage of falls | |
| Total for Criterion 4: | |

100 or more animals audited

small plant adjustment: less than 100 animals audited

| Points Available | | | |
|------------------|------------|--------------|----------------|
| 40 | 30 | 20 | 0 |
| 0% | 0.1-1.0% | 1.1-3.0% | >3.0% |
| 0 animals | 1 animal | 2 animals | ≥3 animals |
| Excellent | Acceptable | Intermediate | Not Acceptable |

| Critical Welfare Indicator | Result |
|---|--------|
| Criterion 5: Electric Prod Use | |
| Number of animals electric prod used on | |
| Number of animals audited | |
| Percentage of animals electric prodded | |
| Total for Criterion 5: | |

single file system

group system

group system small plant adjustment: less than 100 animals audited

| Points Available | | | |
|------------------|-----------|------------|----------------|
| 50 | 45 | 40 | 0 |
| ≤5.0% | 5.1-15.0% | 15.1-25.0% | >25.0% |
| 0% | 0.1-2.5% | 2.6-5.0% | >5.0% |
| 0 animals | n/a | 1 animal | ≥2 animals |
| Excellent | Good | Acceptable | Not Acceptable |

| Key Welfare Indicator | Result |
|--------------------------------------|--------|
| Criterion 6: Vocalization | |
| Number of animals that vocalized | |
| Number of animals audited | |
| Percentage of animals that vocalized | |
| Total for Criterion 6: | |

100 or more animals audited

small plant adjustment: less than 100 animals audited

| Points Available | | | |
|------------------|------------|--------------|----------------|
| 40 | 30 | 20 | 0 |
| 0% | 0.1-5.0% | 5.1-10.0% | >10.0% |
| 0 animals | 1 animal | 2 animals | ≥3 animals |
| Excellent | Acceptable | Intermediate | Not Acceptable |

Note: Vocalization is only audited for single file systems. Adjust the total number of points available for the audit accordingly.

| Critical Welfare Indicator | Result |
|---|--------|
| Criterion 7: Effective Stunning | |
| Captive Bolt Stunning | |
| Number of animals effectively stunned | |
| Number of animals audited | |
| Percentage of animals stunned correctly with one stun | |
| Total for Criterion 7: | |

40 or more animals audited

small plant adjustment: less than 40 animals audited

| Points Available | | |
|----------------------|--|----------------------|
| 50 | 40 | 0 |
| 100% | 99.9-96.0% | <96.0% |
| 0 animals misstunned | 1 animal misstunned if second stun applied correctly | >1 animal misstunned |
| Excellent | Acceptable | Not Acceptable |

Audit only one stunning method. If more than one stunning method is used, audit the method most frequently used.

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| Electrical Stunning | Result |
|--|--------|
| Number of animals audited | |
| Number of animals with accurate placement | |
| Percentage of animal with accurate placement | |
| Points for accurate placement | |
| Number of animals effectively stunned | |
| Percentage of animals effectively stunned | |
| Points for effective stunning | |
| Number of animals hot wanded | |
| Percentage of animal hot wanded | |
| Points for hot wanding | |
| Total for Criterion 7: | |

| | Points Available | | |
|--|----------------------|---------------------|-----------------------|
| | 10 | 5 | 0 |
| <i>100 or more animals audited</i> | 100% | 99% | <99.0% |
| <i>small plant adjustment: less than 100 animals audited</i> | 0 misplacements | 1 misplacement | ≥2 misplacements |
| | 20 | 15 | 0 |
| <i>100 or more animals audited</i> | 100% | 99.9-98.0% | <98.0% |
| <i>small plant adjustment: less than 100 animals audited</i> | 0 animals misstunned | 1 animal misstunned | ≥2 animals misstunned |
| | 20 | 15 | 0 |
| <i>100 or more animals audited</i> | 0% | 0.1-1.0% | >1.0% |
| <i>small plant adjustment: less than 100 animals audited</i> | 0 animals hot wanded | 1 animal hot wanded | ≥2 animals hot wanded |
| | Excellent | Acceptable | Not Acceptable |

| CO ₂ Stunning | Result |
|---|--------|
| Number of gondolas overloaded | |
| Number of gondolas audited | |
| Percentage of gondolas overloaded | |
| Points for gondola loading | |
| Number of animals audited | |
| Number of animals effectively stunned | |
| Percentage of animals effectively stunned | |
| Points for effective stunning | |
| Total for Criterion 7: | |

| | Points Available | | |
|--|----------------------|---------------------|-----------------------|
| | 25 | 20 | 0 |
| <i>25 or more gondolas audited</i> | 0% | 0.1-4.0% | >4.0% |
| <i>small plant adjustment: less than 25 gondolas audited</i> | 0 gondolas | 1 gondola | ≥2 gondolas |
| | 25 | 20 | 0 |
| <i>100 or more animals audited</i> | 100% | 99.9-98.0% | <98.0% |
| <i>small plant adjustment: less than 100 animals audited</i> | 0 animals misstunned | 1 animal misstunned | ≥2 animals misstunned |
| | Excellent | Acceptable | Not Acceptable |

Note: Gondola loading is not audited for continuous single file systems. Adjust the total number of points available for the audit accordingly.

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| Critical Welfare Indicator | Result |
|--|---------------|
| Criterion 8: Bleed Rail Insensibility | |
| Number of insensible animals | |
| Number of animals audited | |
| Percentage of insensible animals on the bleed rail | |
| Total for Criterion 8: | |

| <i>Points Available</i> | |
|-------------------------|-----------------------|
| 50 | 0 |
| 100% | <100% |
| Acceptable | Not Acceptable |

| Critical Welfare Indicator | Points Earned |
|--|----------------------|
| Criterion 9: Euthanasia | |
| Were animals observed during the audit that required euthanasia promptly euthanized according to plant policy? | |

| <i>Points Available</i> | | |
|-------------------------|-----------------------|-----------------------|
| 50 | 0 | 0/0 |
| Yes | No | NA |
| Acceptable | Not Acceptable | Not Applicable |

Note: if euthanasia is not observed, adjust the total number of points available for the audit accordingly.

| Critical Welfare Indicator | Result |
|--|---------------|
| Criterion 10: Willful Acts of Abuse/Egregious Acts | |
| Were any willful acts of abuse or egregious acts observed? | |

not scored

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| FINAL SCORING | Points Earned | Points Available | Pass or Fail |
|--------------------|---------------|------------------|--------------|
| Criterion 1 | | 50 | n/a |
| Criterion 2 | | 50 | n/a |
| Criterion 3 - CWI | | 50 | |
| Criterion 4 - KWI | | 40 | |
| Criterion 5 - CWI | | 50 | |
| Criterion 6 - KWI | | 40 | |
| Criterion 7 - CWI | | 50 | |
| Criterion 8 - CWI | | 50 | |
| Criterion 9 - CWI | | 50 or 0 | |
| Criterion 10 - CWI | | n/a | |
| Total: | | 430 or 380 | n/a |

| | |
|-------------------------------------|--|
| Audit Percentage: | |
| Audit Result: (pass/fail) | |

There are three ways to fail the audit: 1) Fail any of the critical or key welfare indicators (CWIs or KWIs) at a not acceptable level, 2) Fail two of the key welfare indicators at an intermediate level, or 3) have a total score of less than 91%.

Notes:

Auditor Signature: _____

Date: _____